# Rotations

## 1 Rotations in general

A rotation is in general a transformation of the 3D space with the following properties:

- 1. does not change the distances between positions
- 2. does not change the origin of the frame of reference
- 3. does not change the orientation of the frame of reference

Such transformations of  $\mathbb{R}^3$  can be represented as  $3 \times 3$  matrices with *real* elements. These transformations can be combined, and it's possible to show that they form a group.

It is possible to show that a transformation R which leave distances unchanged is represented by a, *orthogonal* matrix. We remember that a matrix is orthogonal iff its transposed is equal to its inverse:

$$RR^T = \mathbb{I}.$$

Moreover, is possible to show that if a  $\mathbb{R}^3 \mapsto \mathbb{R}^3$  transformation preserves the reference axes orientation, it representing matrix has determinant = 1

$$det(R) = 1 \tag{2}$$

We can conclude that the group of rotations on  $\mathbb{R}^3$  is isomorphic to the group SO(3), i.e. the *special* group of  $3 \times 3$  orthogonal matrices (special meaning det=1)

## 2 Two dimentions

In two dimensions (i.e. in  $\mathbb{R}^2$ ), if we represent positions as column vectors  $\vec{v} = \begin{pmatrix} v_1 \\ v_2 \end{pmatrix}$ , an element of SO(2) can be written as a 2 × 2 matrix and, because of the algebraic constrains, the elements can be written as trigonometric functions of the same parameter.

In particular, a rotation of an angle  $\theta$  around the origin, in the *counter-clockwise* sense, will be written as :

$$R(\theta) = \begin{pmatrix} \cos\theta & -\sin\theta\\ \sin\theta & \cos\theta \end{pmatrix}$$
(3)

while a rotation of the same angle in the *clockwise* sense will be:

$$R(\theta) = \begin{pmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{pmatrix}$$
(4)

## 3 Three dimensions

Rotations in 3D do not commute. This means that if we reverse a given sequence of rotations we get in general a different outcome. This also implies that we can not compose two rotations by adding their corresponding angles. In other words, the "triplets of angles" such as the *Euler angles* or the *roll-pitch-yaw* angles, are not vectors!

#### 3.1 Rotations around the coordinate axes

Rotations in  $\mathbb{R}^3$ , of an angle  $\theta$  around the three axes, in *counter-clockwise* sense if observed with the axis pointing toward the observer, are:

$$R_{\hat{i}}(\theta) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta \\ 0 & \sin \theta & \cos \theta \end{pmatrix}$$
(5)  
$$R_{\hat{j}}(\theta) = \begin{pmatrix} \cos \theta & 0 & \sin \theta \\ 0 & 1 & 0 \\ -\sin \theta & 0 & \cos \theta \end{pmatrix}$$
(6)  
$$R_{\hat{k}}(\theta) = \begin{pmatrix} \cos \theta & -\sin \theta & 0 \\ \sin \theta & \cos \theta & 0 \\ 0 & 0 & 1 \end{pmatrix}$$
(7)

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### 3.2 Rotations around an arbitrary axis

In general is possible to rotate around any axis. If the axis is specified by a versor (unit vector)

$$\hat{u} = (u_x, u_y, u_z) \tag{8}$$

(with  $\sqrt{u_x^2 + u_y^2 + u_z^2} = 1$ ) then the rotation of an angle  $\theta$  around  $\vec{u}$  in the counterclockwise sense is

$$R_{\hat{u}}(\theta) = \begin{pmatrix} 0 & -u_z & u_y \\ u_z & 0 & -u_x \\ -u_y & u_x & 0 \end{pmatrix} \sin \theta + (\mathbb{I} - \hat{u}\hat{u}^T)\cos \theta + \hat{u}\hat{u}^T$$
(9)

where

$$\hat{u}\hat{u}^{T} = \begin{pmatrix} u_{x} \\ u_{y} \\ u_{z} \end{pmatrix} (u_{x}, u_{y}, u_{z}) = \begin{pmatrix} u_{x}^{2} & u_{x}u_{y} & u_{x}u_{z} \\ u_{y}u_{x} & u_{y}^{2} & u_{y}u_{z} \\ u_{z}u_{x} & u_{z}u_{y} & u_{z}^{2} \end{pmatrix}.$$
(10)

Developing

$$R_{\hat{u}}(\theta) = \begin{pmatrix} u_x^2(1-\cos\theta)+\cos\theta & u_x u_y(1-\cos\theta)-u_z\sin\theta & u_x u_z(1-\cos\theta)+u_y\sin\theta \\ u_x u_y(1-\cos\theta)+u_y\sin\theta & u_y^2(1-\cos\theta)+\cos\theta & u_y u_z(1-\cos\theta)-u_x\sin\theta \\ u_x u_z(1-\cos\theta)-u_y\sin\theta & u_y u_z(1-\cos\theta)+u_x\sin\theta & u_z^2(1-\cos\theta)+\cos\theta \end{pmatrix}$$
(11)

## 3.3 generalization to n dimensions (nested dimensions)

this is taken from wikipedia, and has to be re-elaborated

A 3?3 rotation matrix like:

$$Q_{3\times3} = \begin{pmatrix} \cos\theta & -\sin\theta & 0\\ \sin\theta & \cos\theta & 0\\ 0 & 0 & 1 \end{pmatrix}$$
(12)

suggests a  $2 \times 2$  rotation matrix:

$$Q_{2\times 2} = \begin{pmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{pmatrix},\tag{13}$$

is embedded in the upper left corner: :

$$Q_{3\times3} = \begin{pmatrix} Q_{2\times2} & \vec{0} \\ \vec{0}^T & 1 \end{pmatrix}.$$
(14)

This is no illusion; not just one, but many, copies of "n"-dimensional rotations are found within ("n"+1)-dimensional rotations, as [[subgroup]]s. Each embedding leaves one direction fixed, which in the case of  $3 \times 3$  matrices is the rotation axis. For example, we have

$$Q_{\hat{i}}(\theta) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos\theta & -\sin\theta \\ 0 & \sin\theta & \cos\theta \end{pmatrix},$$
(15)

$$Q_{\hat{j}}(\theta) = \begin{pmatrix} \cos\theta & 0 & \sin\theta \\ 0 & 1 & 0 \\ -\sin\theta & 0 & \cos\theta \end{pmatrix},$$
(16)

$$Q_{\hat{k}}(\theta) = \begin{pmatrix} \cos\theta & -\sin\theta & 0\\ \sin\theta & \cos\theta & 0\\ 0 & 0 & 1 \end{pmatrix},$$
(17)

fixing the x axis, the y axis, and the z axis, respectively. The rotation axis need not be

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a coordinate axis; if u = (x, y, z) is a unit vector in the desired direction, then :

$$Q_{\vec{u}}(\theta) = \begin{pmatrix} 0 & -z & y \\ z & 0 & -x \\ -y & x & 0 \end{pmatrix} \sin \theta + (I - \hat{u}\hat{u}^{T})\cos \theta + \hat{u}\hat{u}^{T}$$
(18)  
$$= \begin{pmatrix} (1 - x^{2})c_{\theta} + x^{2} & -zs_{\theta} - xyc_{\theta} + xy & ys_{\theta} - xzc_{\theta} + xz \\ zs_{\theta} - xyc_{\theta} + xy & (1 - y^{2})c_{\theta} + y^{2} & -xs_{\theta} - yzc_{\theta} + yz \\ -ys_{\theta} - xzc_{\theta} + xz & xs_{\theta} - yzc_{\theta} + yz & (1 - z^{2})c_{\theta} + z^{2} \end{pmatrix}$$
(19)  
$$= \begin{pmatrix} x^{2}(1 - c_{\theta}) + c_{\theta} & xy(1 - c_{\theta}) - zs_{\theta} & xz(1 - c_{\theta}) + ys_{\theta} \\ xy(1 - c_{\theta}) + zs_{\theta} & y^{2}(1 - c_{\theta}) + c_{\theta} & yz(1 - c_{\theta}) - xs_{\theta} \\ xz(1 - c_{\theta}) - ys_{\theta} & yz(1 - c_{\theta}) + xs_{\theta} & z^{2}(1 - c_{\theta}) + c_{\theta} \end{pmatrix},$$
(20)

where  $c_{\theta} = \cos \theta$ ,  $s_{\theta} = \theta$ , is a rotation by angle  $\theta$  leaving axis "'u"' fixed.

A direction in ("n"+1)-dimensional space will be a unit magnitude vector, which we may consider a point on a generalized sphere,  $S^n$ . Thus it is natural to describe the rotation group SO(n+1) as combining SO(n) and  $S^n$ . A suitable formalism is the *fiber* bundle,  $SO(n) \hookrightarrow SO(n+1) \mapsto S^n$  where for every direction in the "base space",  $S^n$ , the "fiber" over it in the "total space", SO(n+1), is a copy of the "fiber space", SO(n), namely the rotations that keep that direction fixed.

Thus we can build an  $n \times n$  rotation matrix by starting with a  $2 \times 2$  matrix, aiming its fixed axis on S<sup>2</sup> (the ordinary sphere in three-dimensional space), aiming the resulting rotation on S<sup>3</sup>, and so on up through S<sup>n?1</sup>. A point on S<sup>n</sup> can be selected using "n" numbers, so we again have "n"("n"?1)/2 numbers to describe any  $n \times n$  rotation matrix. In fact, we can view the sequential angle decomposition, discussed previously, as reversing this process. The composition of "n"?1 Givens rotations brings the first column (and row) to  $(1,0,\ldots,0)$ , so that the remainder of the matrix is a rotation matrix of dimension one less, embedded so as to leave  $(1,0,\ldots,0)$  fixed.

## 4 Infinitesimal rotations

An important property is that infinitesimal rotations are *commutative*. [...]

5 Relationships between SO(3), SU(2), the rotations, the angular momenta, the Pauli matrices and the spin